SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| one / I I Ko Wie | | | | | | | | | | | |
|--------------------|-----------|--|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | | |
| Estimated average | burden | | | | | | | | | | |
| hours per response | : 0.5 | | | | | | | | | | |

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| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Farnsworth David E. | | Person* | 2. Issuer Name and Ticker or Trading Symbol <u>MERCURY SYSTEMS INC</u> [MRCY] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | | |
|---|--------------------|----------|---|--|--|--|--|--|--|--|
| (Last) 50 MINUTEM | (First) AN ROAD | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 08/17/2023 | X Officer (give title Other (specify below) below) EVP, CFO & Treasurer | | | | | | |
| | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| (Street) ANDOVER | MA | 01810 | | X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) | (State) | (Zip) | Rule 10b5-1(c) Transaction Indication | | | | | | | |
| | | | Check this box to indicate that a transaction was made pursuant satisfy the affirmative defense conditions of Rule 10b5-1(c). See | ursuant to a contract, instruction or written plan that is intended to c). See Instruction 10. | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| ······································ | | | | | | | | | | |
|--|--|-----------------------------|------|---|-------------------------------|---------------|---|---|---|------------|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution Date, Transaction | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 08/17/2023 | | A | | 59,4 75 ⁽¹⁾ | A | \$ <mark>0</mark> | 59,475 | D | |
| Common Stock | 08/17/2023 | | A | | 63,576 ⁽²⁾ | A | \$ <mark>0</mark> | 123,051 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Expiration Date // Derivative (Month/Day/Year) S | | 7. Titl Amou Secui Unde | int of rities | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially | 10. Ownership Form: Direct (D) | 11. Nature of Indirect Beneficial Ownership | | |
|---|---|--|---|------------------------------|---|--|--|----------------------------------|--------------------|---|--|---|--|-------------------------------|------------|
| (| Derivative Security | | (nonin-bay) (car) | 5, | | Acqu (A) of Dispo of (D) (Instr | Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | Derivative Security (Instr. 3 and 4) | | (1130.3) | Owned Following Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. These shares of restricted stock vest in equal annual increments over the three-year period following the grant date.

2. These shares of performance-based restricted stock vest between 0% and 225% of the amount listed in the table depending on the achievement of performance objectives for the three fiscal years ending July 3, 2026.

> /s/ Michelle McCarthy, Attorney-in-Fact for David

Farnsworth

08/21/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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